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# Fourier-Mukai transforms and canonical divisors

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## 1 Introduction

Let  $X$  be a smooth projective variety over  $\mathbb{C}$ . The main subject of this article is investigating the derived category of coherent sheaves on  $X$ . We define  $D(X)$  as

$$D(X) := D^b(\mathrm{Coh}(X)).$$

Recently  $D(X)$  has attained much interest in many mathematical aspects, and is expected to represent several symmetries. We have the following examples:

- Homological mirror symmetry

If  $X$  is a Calabi-Yau 3-fold, it is expected there exists another Calabi-Yau 3-fold  $\check{X}$ , such that  $X$  and  $\check{X}$  are related by some symmetry.  $\check{X}$  is called a mirror manifold of  $X$ . It is expected we can define Fukaya category  $Fuk(\check{X})$ , which depends not complex structures but symplectic structures, such that we have an equivalence

$$D(X) \rightarrow DFuk(\check{X}).$$

- Moduli spaces of stable sheaves

$D(X)$  is useful in investigating moduli spaces of stable sheaves. It is well-known that some moduli spaces have equivalent derived categories with that of the original varieties. The famous example of Mukai [8] shows if  $A$  is an abelian variety and  $\hat{A}$  is its dual, the Poincare line bundle gives an equivalence

$$D(\hat{A}) \rightarrow D(A).$$

- Birational geometry

Let  $\phi: X \dashrightarrow X^+$  be a 3-dimensional flop. In [2], Bridgeland showed there exists an equivalence

$$D(X) \rightarrow D(X^+).$$

His method is considering  $X^+$  as a moduli space of perverse sheaves  $\mathrm{Per}(X) \subset D(X)$ . It is remarkable that his method gives a conceptual proof of the existence of flops.

In this article, we are concerned with the problem “To what extent is  $X$  determined by  $D(X)$  ?” We define  $FM(X)$  as the set of smooth projective varieties, whose derived categories are equivalent to  $D(X)$ , up to isomorphism. The members of  $FM(X)$  are called Fourier-Mukai partners of  $X$ . Take an object  $\mathcal{P} \in D(X \times Y)$ . Then we can define a functor

$$\Phi_{X \rightarrow Y}^{\mathcal{P}} := \mathbf{R}g_*(f^*(*) \overset{\mathbf{L}}{\otimes} \mathcal{P}): D(X) \rightarrow D(Y).$$

Here  $f: X \times Y \rightarrow X$  and  $g: X \times Y \rightarrow Y$  are projections. The following theorem is fundamental.

**Theorem 1.1 (Orlov [11])** *Let  $Y \in FM(X)$  and  $\Phi: D(X) \rightarrow D(Y)$  gives an equivalence. Then there exists an object  $\mathcal{P} \in D(X \times Y)$  such that  $\Phi$  is isomorphic to the functor  $\Phi_{X \rightarrow Y}^{\mathcal{P}}$ . Moreover  $\mathcal{P}$  is uniquely determined up to isomorphism.*

$\mathcal{P}$  is called a kernel of  $\Phi$ . The problem is classifying the Fourier-Mukai partners of  $X$ . The followings are known results.

- $\dim X = 1$

In this case, it is easy to show  $FM(X) = \{X\}$ .

- $\dim X = 2$

In this case,  $FM(X) = \{X\}$  except  $X$  is a  $K3$  surface or an Abelian surface, or an elliptic surface. When  $X$  is one of such varieties,  $FM(X)$  are given by some moduli spaces of stable sheaves. These results are shown by Bridgeland-Maciocia [4] and Kawamata [7].

- $X$  is a general type or  $\pm K_X$  is ample

When  $X$  is a general type, Kawamata [7] showed  $Y \in FM(X)$  if and only if  $X$  and  $Y$  are  $K$ -equivalent. When  $\pm K_X$  is ample, then  $FM(X) = \{X\}$ . This is a result of Bondal-Orlov [1].

In these results, we can see the common methods in treating this problem, summarized as follows:

- If  $K_X$  has much information, then we can reconstruct (general) closed points  $\{\mathcal{O}_x\}_{x \in X}$
- If  $K_X$  has no information, then we can use Torelli theorem

The main purpose of this article is to generalize these methods, and the main idea is the following:

“If there exists  $E \in |mK_X|$ , then use  $E$  to reduce the problem to lower dimensional case.”

## 2 Correspondence of canonical divisors

In this section we compare the canonical divisors of  $X$  and  $Y$ , when  $Y \in FM(X)$ , and state the main theorem. Firstly we define the Serre functor.

**Definition 2.1** *We define  $S_X$  as*

$$S_X := \otimes \omega_X[\dim X]: D(X) \rightarrow D(X).$$

$S_X$  satisfies the following categorical property

$$\mathrm{Hom}(E, F) \cong \mathrm{Hom}(F, S_X(E)),$$

and characterized by this property. Therefore if  $\Phi: D(X) \rightarrow D(Y)$  gives an equivalence, then we have an isomorphism of functors

$$\Phi \circ S_X \cong S_Y \circ \Phi.$$

Since the kernel of the left hand side is given by  $\mathcal{P} \otimes f^* \omega_X[\dim X]$  and right hand side is given by  $\mathcal{P} \otimes g^* \omega_Y[\dim Y]$ , we have an isomorphism by Orlov's theorem

$$\mathcal{P} \otimes f^* \omega_X[\dim X] \xrightarrow{\cong} \mathcal{P} \otimes g^* \omega_Y[\dim Y].$$

So it follows that  $\dim X = \dim Y$  and for all  $m \in \mathbb{Z}$  we have an isomorphism

$$\rho_m: \mathcal{P} \otimes \mathcal{O}(mf^*K_X) \xrightarrow{\cong} \mathcal{P} \otimes \mathcal{O}(mg^*K_Y).$$

On the other hand, since  $S_X$  is a categorical invariant, we have the isomorphism of natural transforms

$$\tau_m: \mathrm{Nat}(\mathrm{id}_X, S_X^m[-dm]) \xrightarrow{\cong} \mathrm{Nat}(\mathrm{id}_Y, S_Y^m[-dm]).$$

Here  $d = \dim X$  and  $\mathrm{Nat}$  means natural transforms. Note that  $\mathrm{Nat}(\mathrm{id}_X, S_X^m[-dm])$  contains  $H^0(X, mK_X)$  as a linear subspace and it is easy to show that the above isomorphism preserve these subspaces. So we have an isomorphism

$$H^0(X, mK_X) \xrightarrow{\cong} H^0(Y, mK_Y).$$

Take  $\sigma \in H^0(X, mK_X)$  and  $\mathrm{div}(\sigma) = E \in |mK_X|$ . Let  $\sigma^\dagger \in H^0(Y, mK_Y)$  corresponds to  $\sigma$  and  $\mathrm{div}(\sigma^\dagger) = E^\dagger \in |mK_Y|$ . We want to compare  $E$  and  $E^\dagger$ . It is easy to show that  $\Phi$  preserves these supports. For  $Z \hookrightarrow X$  closed subscheme, we define  $D_Z(X)$  as follows:

$$D_Z(X) := \{a \in D(X) \mid \mathrm{Supp} a := \cup \mathrm{Supp} H^i(a) \subset Z\}.$$

We have the following lemma.

**Lemma 2.2**  $\Phi$  takes  $D_E(X)$  to  $D_{E^\dagger}(Y)$ .

(*Proof*) Take  $a \in \text{Coh}(X) \cap D_E(X)$ . Then

$$\sigma^N(a): a \rightarrow a \otimes \mathcal{O}(NmK_X)$$

are zero-maps for sufficiently large  $N$ . Then

$$(\sigma^\dagger)^N(\Phi(a)): \Phi(a) \rightarrow \Phi(a) \otimes \mathcal{O}(NmK_Y)$$

are also zero-maps. This implies  $\text{Supp } \Phi(a) \subset E^\dagger$ , and since  $D_E(X)$  is generated by  $\text{Coh}(X) \cap D_E(X)$ , the lemma follows.  $\square$

Unfortunately  $D(E)$  is far from  $D_E(X)$  and we want to compare  $D(E)$  and  $D(E^\dagger)$ . If  $D(E)$  and  $D(E^\dagger)$  are equivalent, then the relation between  $E$  and  $E^\dagger$  gives some information of the relation between  $X$  and  $Y$ . For the sake of applications, it is convenient to formulate the theorem on the complete intersections of these divisors. Take  $E_i \in |m_i K_X|$  for  $i = 1, 2, \dots, n$ . Here  $n$  is an arbitrary natural number. Take a connected component  $C \subset \bigcap_{i=1}^n E_i$ . Then by the same argument of the lemma, there exists a unique connected component  $C^\dagger \subset \bigcap_{i=1}^n E_i^\dagger$  such that  $\Phi$  takes  $D_C(X)$  to  $D_{C^\dagger}(Y)$ . We assume the following conditions:

- $C$  and  $C^\dagger$  are complete intersections.
- $\text{Tor}_i^{\mathcal{O}_{X \times Y}}(H^k(\mathcal{P}), \mathcal{O}_{C \times C^\dagger}) = \text{Tor}_i^{\mathcal{O}_{X \times Y}}(H^k(\mathcal{E}), \mathcal{O}_{C \times C^\dagger}) = 0$  for all  $k$  and  $i > 0$ .

Here  $\mathcal{E}$  is a kernel of  $\Phi^{-1}$ . These conditions are satisfied, for example,  $|m_i K_X|$  are free and  $E_i$  are generic members. Main theorem is the following:

**Theorem 2.3** *Under the above conditions, there exists an equivalence  $\Phi_C: D(C) \rightarrow D(C^\dagger)$  such that the following diagram is commutative:*

$$\begin{array}{ccccc} D(X) & \xrightarrow{\mathbf{L}i_C^*} & D(C) & \xrightarrow{i_{C*}} & D(X) \\ \Phi \downarrow & & \Phi_C \downarrow & & \Phi \downarrow \\ D(Y) & \xrightarrow{\mathbf{L}i_{C^\dagger}^*} & D(C^\dagger) & \xrightarrow{i_{C^\dagger*}} & D(Y) \end{array}$$

Here  $i_C, i_{C^\dagger}$  are inclusions.

### 3 Outline of the proof of the main theorem

Take  $\sigma \in H^0(X, K_X)$ ,  $E = \text{div}(\sigma)$  as in the previous section. Let  $\mathcal{P} \in D(X \times Y)$  be a kernel of  $\Phi: D(X) \rightarrow D(Y)$ .

**Step 1** *The following diagram commutes:*

$$\begin{array}{ccc} \mathcal{P} \otimes \mathcal{O}(-mf^*K_X) & \xrightarrow{id \otimes \sigma} & \mathcal{P} \\ \rho_{-m} \downarrow & & \parallel \\ \mathcal{P} \otimes \mathcal{O}(-mg^*K_Y) & \xrightarrow{id \otimes \sigma^\dagger} & \mathcal{P}. \end{array}$$

(*Idea of the proof*) By definition induced diagram of natural transforms is commutative, i.e.  $\text{id} \circ \sigma = \sigma^\dagger \circ \text{id} \circ \tau_{-m}$ . We can describe  $\mathcal{P}$  in terms of  $\Phi$  by using the proof of the Orlov's theorem. Combine these results.  $\square$

By taking cones, Step 1 implies there exists an isomorphism

$$\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{E \times Y} \cong \mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{X \times E^\dagger}.$$

Take  $\sigma_i$ ,  $E_i$ , and  $C$ ,  $C^\dagger$  as in the previous section. Then we have an isomorphism

$$\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{C \times Y} \cong \mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{X \times C^\dagger} \quad \cdots (\star).$$

Here we used the assumption  $C$  and  $C^\dagger$  are complete intersections. Now we have

**Step 2** *There exists some object  $\mathcal{P}_C \in D(C \times C^\dagger)$  such that*

$$\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{C \times Y} \cong \mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{X \times C^\dagger} \cong i_{C \times C^\dagger, \star} \mathcal{P}_C.$$

(*Idea of the proof*) By applying  $\overset{\mathbf{L}}{\otimes} \mathcal{O}_{C \times Y}$  to  $(\star)$ , we can see  $\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{C \times Y}$  is a direct summand of  $\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{C \times C^\dagger}$ , which is a push-forward from  $C \times C^\dagger$ . Now we use the assumption of the higher  $\mathcal{T}or$  to show  $\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{C \times Y}$  is actually push-forward from  $C \times C^\dagger$ .  $\square$

**Step 3** *Let  $\Phi_C := \Phi_{C \rightarrow C^\dagger}^{\mathcal{P}_C} : D(C) \rightarrow D(C^\dagger)$ . Then  $\Phi_C$  gives a desired equivalence.*

(*Proof*) Firstly we show the commutativity of the diagram. This easily follows from the isomorphism of Step 2. Secondly we show that  $\Phi_C$  gives an equivalence. Let  $\Psi_{C^\dagger} : D(C^\dagger) \rightarrow D(C)$  be a functor defined from  $\Psi := \Phi^{-1}$  as in the same way. Then the commutativity of the diagram implies

$$\Psi_{C^\dagger} \circ \Phi_C(\mathcal{O}_x) = \mathcal{O}_x, \quad \Psi_{C^\dagger} \circ \Phi_C(\mathcal{O}_C) = \mathcal{O}_C.$$

These imply  $\Psi_{C^\dagger} \circ \Phi_C = \text{id}$ , since the kernel of  $\Psi_{C^\dagger} \circ \Phi_C$  is a diagonal. Similarly  $\Phi_C \circ \Psi_{C^\dagger} = \text{id}$ , and the proof is completed.  $\square$

## 4 Applications

We can apply the Main theorem to the classification of  $FM(X)$ . Note that the minimal 3-fold  $X$  has an algebraic fiber space structure

$$\pi : X \rightarrow Z := \text{Proj} \oplus_{m \geq 0} H^0(X, mK_X).$$

$\pi$  is called Iitaka fibration. Let  $X_{\bar{\eta}}$  be geometric generic fiber of  $\pi$ . In this section, we assume the following:

- $X$  is a smooth minimal 3-fold of  $\kappa(X) = 1$ .
- $X_{\vec{\eta}}$  is a  $K3$  surface or an Abelian surface.
- All the fibers of  $\pi$  is irreducible and reduced.

Before we state the theorem, we give a definition

**Definition 4.1** *Let  $H \in \text{Pic}(X)$  be a polarization. We denote  $M^H(X/Z)$  by relative moduli space of stable sheaves with respect to  $H$ . An irreducible component  $M \subset M^H(X/Z)$  is fine if  $M \rightarrow Z$  is projective and there exists an universal family on  $X \times_Z M$ .*

The main theorem of this section is the following:

**Theorem 4.2** *In the above situation,  $Y \in FM(X)$  if and only if there exists some polarization  $H$  on  $X$ , and an irreducible component  $M \subset M^H(X/Z)$  which is fine and relative dimension  $=2$ , such that  $Y$  and  $M$  are connected by finite number of flops.*

(Out line of the proof) Let  $\Phi: D(X) \rightarrow D(Y)$  be as in the previous sections. The isomorphism  $H^0(X, mK_X) \cong H^0(Y, mK_Y)$  preserves graded ring structures, so we have

$$Z := \text{Proj } \oplus_{m \geq 0} H^0(X, mK_X) \cong \text{Proj } \oplus_{m \geq 0} H^0(Y, mK_Y).$$

Let  $\pi_X: X \rightarrow Z$ ,  $\pi_Y: Y \rightarrow Z$  be Iitaka fibrations. Then the main theorem implies, for general points  $p \in Z$ ,  $X_p, Y_p$  fibers at  $p$ , there exists an equivalence  $\Phi_p: D(X_p) \rightarrow D(Y_p)$  such that the diagram

$$\begin{array}{ccccc} D(X) & \xrightarrow{\text{Li}_{X_p}^*} & D(X_p) & \xrightarrow{i_{X_p}^*} & D(X) \\ \Phi \downarrow & & \Phi_p \downarrow & & \downarrow \\ D(Y) & \xrightarrow{\text{Li}_{Y_p}^*} & D(Y_p) & \xrightarrow{i_{Y_p}^*} & D(Y). \end{array}$$

commutes. For the sake of simplicity, we assume  $X_p$  is a  $K3$  surface. Now we use the general facts of derived categories and singular cohomologies. For a functor  $\Phi_{X \rightarrow Y}^P: D(X) \rightarrow D(Y)$ , which is not necessary equivalent, we can define a linear map

$$\phi_{X \rightarrow Y}^P: H^*(X, \mathbb{Q}) := \oplus_{k \geq 0} H^k(X, \mathbb{Q}) \rightarrow H^*(Y, \mathbb{Q}).$$

$\phi_{X \rightarrow Y}^P$  is defined by the algebraic cycle  $f^* \sqrt{\text{td}_X} \text{ch}(\mathcal{P}) g^* \sqrt{\text{td}_Y} \in H^*(X \times Y, \mathbb{Q})$ , and the following diagram commutes:

$$\begin{array}{ccc} D(X) & \xrightarrow{\Phi} & D(Y) \\ \text{ch}(\cdot) \sqrt{\text{td}_X} \downarrow & & \downarrow \text{ch}(\cdot) \sqrt{\text{td}_Y} \\ H^*(X, \mathbb{Q}) & \xrightarrow{\phi} & H^*(Y, \mathbb{Q}). \end{array}$$

Moreover the correspondence  $\Phi_{X \rightarrow Y}^{\mathcal{P}} \rightarrow \phi_{X \rightarrow Y}^{\mathcal{P}}$  is functorial. Applying these facts to our situations, we have the commutative diagram

$$\begin{array}{ccccc} H^*(X, \mathbb{Q}) & \xrightarrow{i_{X_p}^*} & H^*(X_p, \mathbb{Q}) & \xrightarrow{i_{X_p^*}} & H^*(X, \mathbb{Q}) \\ \phi \downarrow & & \phi_p \downarrow & & \phi \downarrow \\ H^*(Y, \mathbb{Q}) & \xrightarrow{i_{Y_p}^*} & H^*(Y_p, \mathbb{Q}) & \xrightarrow{i_{Y_p^*}} & H^*(Y, \mathbb{Q}). \end{array}$$

As in [9],  $\phi_p$  is defined over  $\mathbb{Z}$  and preserves inner products. Here inner product on  $H^*(X_p, \mathbb{Z}) = H^0 \oplus H^2 \oplus H^4$  is given by  $(r, l, s) \cdot (r', l', s') = ll' - rs' - r's$ . Now take  $(0, 0, 1) \in H^*(Y_p, \mathbb{Z})$  and let  $(r_p, l_p, s_p) := \phi_p^{-1}(0, 0, 1)$ . Then by composing suitable equivalence if necessary, we may assume  $r_p \geq 2$ ,  $l_p$  is ample. Moreover we can show there exists a polarization  $H$  on  $X$  such that  $H|_{X_p} = dl_p$  for some  $d > 0$ . Let  $M \subset M^H(X/Z)$  be an irreducible component which contains stable sheaves on  $X_p$  whose Mukai vector  $:= \sqrt{\text{td } X_p} \text{ch}(\cdot)$  equals to  $(r_p, l_p, s_p)$ . Then as in [9],  $M$  is non-empty, and we can check the condition of the existence of the universal sheaves in [9]. Moreover, since all the fibers of  $\pi_X$  are irreducible and reduced,  $M$  is projective by the argument of [6, Remark 4.6.8]. Therefore we can conclude  $M$  is fine. By [5],  $M$  is smooth and the universal sheaf gives an equivalence  $D(M) \rightarrow D(X)$ . By composing this equivalence with  $\Phi$ , we can reduce the problem to the following:

“If  $(r_p, l_p, s_p) = (0, 0, 1)$ , then  $X$  and  $Y$  are connected by finite number of flops.”

Since  $X$  and  $Y$  are minimal 3-fold, it is enough to show  $X$  and  $Y$  are birational. Note that  $(0, 0, 1)^\perp / (0, 0, 1) \cong H^2(X_p, \mathbb{Z})$ , so there exists a Zariski open set  $Z^0 \subset Z$  such that we have a Hodge isometry

$$\tilde{\phi}: R^2\pi_{X*}\mathbb{Z}_X|_{Z^0} \rightarrow R^2\pi_{Y*}\mathbb{Z}_Y|_{Z^0}.$$

Now using the results of the families of  $K3$  surfaces in [10], we can show that, by shrinking  $Z^0$  if necessary, there exists a Hodge isometry  $\tilde{\phi}': R^2\pi_{Y*}\mathbb{Z}_Y|_{Z^0} \rightarrow R^2\pi_{Y*}\mathbb{Z}_Y|_{Z^0}$  such that the composition  $\tilde{\phi}' \circ \tilde{\phi}$  is an effective Hodge isometry. By Torelli theorem of  $K3$  surfaces, there exists an isomorphism  $f_p: Y_p \rightarrow X_p$  such that  $(\tilde{\phi}' \circ \tilde{\phi})_p = f_p^*$ . Then  $\{f_p\}_{p \in Z^0}$  gives a section of  $\text{Isom}_{Z^0}(Y, X) \rightarrow Z^0$ .  $\square$

## 5 Appendix

In the case of  $\kappa(X) = 2$ , we have the following result.

**Theorem 5.1** *Let  $X$  be a smooth projective 3-fold of  $\kappa(X) = 2$ . Then  $Y \in FM(X)$  if and only if one of the following holds:*

- (i)  $X$  and  $Y$  are connected by finite number of flops.
- (ii) There exists a following diagram:

$$\begin{array}{ccccc} Y & \xrightarrow{\text{flops}} & J^H(d) & & M & \xrightarrow{\text{flops}} & X \\ & & \pi \searrow & & \swarrow \pi & & \\ & & & S & & & \end{array}$$

where  $\pi: X^+ \rightarrow S$  is an elliptic fibration with  $\omega_M \equiv_\pi 0$ ,  $H \in \text{Pic}(M)$  is a polariza-



tion,  $d \in \mathbb{Z}$ , and  $J^H(d) \subset M^H(M/S)$  is an irreducible component which is fine and contains line bundles of degree  $d$  on smooth fibers of  $\pi$ .

In the case of  $\kappa(X) = 1$ , If we eliminate the assumption “all the fibers of the Iitaka fibration are irreducible and reduced” in the previous section, we have the following result.

**Theorem 5.2** *Assume  $X$  is a minimal 3-fold of  $\kappa(X) = 1$ , and generic fiber of its Iitaka fibration is a K3 surface. Then  $Y \in FM(X)$  if and only if of the following holds.*

(i) *There exists a polarization  $H$  on  $X$  and an irreducible component  $M \subset M^H(X/Z)$ , which is fine and relative dimension two, such that  $Y$  and  $M$  are connected by finite number of flops.*

(ii) *There exists a polarization  $H$  on  $Y$  and an irreducible component  $M \subset M^H(Y/Z)$ , which is fine and relative dimension two, such that  $X$  and  $M$  are connected by finite number of flops.*

I hope that  $Y \in FM(X)$  if and only if (i) holds, but unfortunately I couldn't prove. The problem is whether we can take moduli space which is projective.

By the same method, we can study  $FM(X)$  when  $X_{\tilde{\eta}}$  is an Enriques surface or a bielliptic surface. Let  $X$  be a good minimal model (i.e.  $K_X$  is semi-ample) and  $\pi_X: X \rightarrow Z$  be its Iitaka fibration. Let  $m := \min\{i \mid \omega_{X_{\tilde{\eta}}}^{\otimes i} \cong \mathcal{O}_{X_{\tilde{\eta}}}\}$ . Then there exists a Zariski open subset  $Z^0 \subset Z$  such that  $\omega_{X^0}^{\otimes m} \cong \mathcal{O}_{X^0}$ , where  $X^0 = \pi_X^{-1}(Z^0)$ . Let

$$p_X: \tilde{X}^0 := \text{Spec}_{\mathcal{O}_{X^0}} \left( \bigoplus_{i=0}^{m-1} \omega_{X^0}^{\otimes(-i)} \right) \rightarrow X^0$$

be its canonical cover. Let  $Y \in FM(X)$  and  $\pi_Y: Y \rightarrow Z$  be its Iitaka fibration. Then,  $\min\{i \mid \omega_{Y_{\tilde{\eta}}}^{\otimes i} \cong \mathcal{O}_{Y_{\tilde{\eta}}}\}$  is also  $m$  because general fiber of  $\pi_Y$  is also a Fourier-Mukai partner of general fiber of  $\pi_X$ . Let us take a canonical cover  $\pi_Y: \tilde{Y}^0 \rightarrow Y^0$ . Then the equivalence  $\Phi: D(X) \rightarrow D(Y)$  gives an equivalence  $\Phi^0: D(X^0) \rightarrow D(Y^0)$ . Let  $G = \text{Gal}(\tilde{X}^0/X^0) \cong \text{Gal}(\tilde{Y}^0/Y^0) \cong \mathbb{Z}/m\mathbb{Z}$ . Let  $p_{X,p} := p_X|_{\tilde{X}_p}$ ,  $p_{Y,p} := p_Y|_{\tilde{Y}_p}$ .

**Definition 5.3** *A functor  $\tilde{\Phi}^0: D(\tilde{X}^0) \rightarrow D(\tilde{Y}^0)$  is  $G$ -equivariant if there exists some group isomorphism  $\sigma: G \rightarrow G$  such that the following diagram commutes for all  $g \in G$ :*

$$\begin{array}{ccc} D(\tilde{X}^0) & \xrightarrow{\tilde{\Phi}^0} & D(\tilde{Y}^0) \\ g^* \downarrow & & \downarrow \sigma(g)^* \\ D(\tilde{X}^0) & \xrightarrow{\tilde{\Phi}^0} & D(\tilde{Y}^0), \end{array}$$

By combining the method of [3] and our method, we can easily show the following theorem.

**Theorem 5.4** ( *By shrinking  $Z^0$  if necessary,* ) *There exists a  $G$ -equivariant equivalence  $\tilde{\Phi}^0: D(\tilde{X}^0) \rightarrow D(\tilde{Y}^0)$  such that the following diagram is commutative:*

$$\begin{array}{ccccc}
 D(X^0) & \xrightarrow{p_X^*} & D(\tilde{X}^0) & \xrightarrow{p_{X^*}} & D(X^0) \\
 (\diamond) \quad \Phi^0 \downarrow & & \tilde{\Phi}^0 \downarrow & & \Phi^0 \downarrow \\
 D(Y^0) & \xrightarrow{p_Y^*} & D(\tilde{Y}^0) & \xrightarrow{p_{Y^*}} & D(Y^0).
 \end{array}$$

*Moreover there exists a  $G$ -equivariant equivalence  $\tilde{\Phi}_p: D(\tilde{X}_p) \rightarrow D(\tilde{Y}_p)$  such that the following diagrams commute:*

$$\begin{array}{ccccc}
 D(\tilde{X}^0) & \xrightarrow{\text{Li}_{\tilde{X}_p}^*} & D(\tilde{X}_p) & \xrightarrow{i_{\tilde{X}_p^*}} & D(\tilde{X}^0) \\
 (\diamond') \quad \tilde{\Phi}^0 \downarrow & & \tilde{\Phi}_p \downarrow & & \tilde{\Phi}^0 \downarrow \\
 D(\tilde{Y}^0) & \xrightarrow{\text{Li}_{\tilde{Y}_p}^*} & D(\tilde{Y}_p) & \xrightarrow{i_{\tilde{Y}_p^*}} & D(\tilde{Y}^0), \\
 \\ 
 D(X_p) & \xrightarrow{p_{X,p}^*} & D(\tilde{X}_p) & \xrightarrow{p_{X,p^*}} & D(X_p) \\
 (\diamond'') \quad \Phi_p \downarrow & & \tilde{\Phi}_p \downarrow & & \Phi_p \downarrow \\
 D(Y_p) & \xrightarrow{p_{Y,p}^*} & D(\tilde{Y}_p) & \xrightarrow{p_{Y,p^*}} & D(Y_p).
 \end{array}$$

Assume the following:

- $X$  is a smooth minimal 3-fold of  $\kappa(X) = 1$ .
- $X_{\tilde{\eta}}$  is an Enriques surface or a bielliptic surface.
- If  $X_{\tilde{\eta}}$  is a bielliptic surface, all the fibers of  $\pi_X$  are irreducible and reduced.

Under these conditions, we can study  $FM(X)$  by using the above theorem. In fact we have the following theorem:

**Theorem 5.5** *Under the above conditions  $Y \in FM(X)$  if and only if there exists a polarization  $H$  on  $X$  and an irreducible component  $M \subset M^H(X/Z)$  which satisfies*

- $M$  is fine and  $M \rightarrow Z$  is relative dimension two.
- For all  $x \in M$ , corresponding stable sheaf  $E_x$  satisfies  $E_x \otimes \omega_X \cong E_x$ .

*such that  $Y$  and  $M$  are connected by finite number of flops.*

**Problem 5.6** *By the classification of  $FM(X)$  in the surface case,  $FM(X_p) = \{X_p\}$  in this case. Are there any member in  $FM(X)$  which is not birational to  $X$ ?*

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